

08/962700  
11-03-97 #4A  
OMC  
6/8/98  
**COMPLETED**

U.S. PTO

11/03/97

PTO/SB/13 (11-96)

Approved for use through 6/30/99. OMB 0651-0033  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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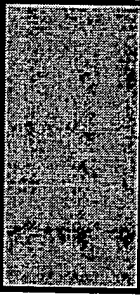
**REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.60**

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
	CLASS	SUBCLASS		
97-020	118	100+	08/407,191	1112

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

This is a request for filing a ☐ continuation ☒ divisional application under 37 CFR 1.60, of pending prior Application Number 08 / 407,191, filed on 3/17/95 entitled Method and Apparatus for Controlled Placement of a Polymer Composition Into a Web

1. Enclosed is a copy of the latest inventor-signed prior application, including a copy of the oath or declaration showing the original signature or an indication it was signed. I hereby verify that the papers are a true copy of the latest signed prior application number 08 / 407,191, and further that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	66 - 20 =	46	x \$ 22 =	\$ 1012
	INDEPENDENT CLAIMS (37 CFR 1.16(d))	3 - 3 =	0	x \$ 82 =	0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 0 =	0
				BASIC FEE (37 CFR 1.16(a))	+ 790
				Total of above Calculations =	1802
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				(901)
				TOTAL =	\$901

2. ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27  
☐ is enclosed.  
☒ was filed in prior application number 08 / 407,191 and such status is still proper and desired (37 CFR 1.28(a)).
3. ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 14-0794. A duplicate copy of this sheet is enclosed.
4. ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.
5. ☒ Cancel in this application original claims 2-132 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
6. ☒ The inventor(s) of the invention being claimed in this application is (are): James Michael Caldwell
7. ☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 CFR 1.60(b), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application:
8. ☐ Amend the specification by inserting before the first line the sentence: "This application is a ☐ continuation ☐ division of application number \_\_\_\_\_ / \_\_\_\_\_, filed \_\_\_\_\_, (status, abandoned, pending, etc.)."

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# (REQUEST FOR FILING A PATENT APPLICATION UNDER 37 CFR 1.60, PAGE 2)

9. ☒ New formal drawings are enclosed.
10. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119(a) - (d).  
☐ The certified copy has been filed in prior application number \_\_\_\_ / \_\_\_\_\_, filed \_\_\_\_\_.
11. ☒ A preliminary amendment is enclosed.
12. ☒ The prior application is assigned of record to Nextec Applications, Inc.
13. ☒ Also enclosed: Power of Attorney and Petition to Accept Photographs

14. ☐ The power of attorney in the prior application is to: \_\_\_\_\_

- a. ☐ The power of attorney appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)

☐ Customer Number. \_\_\_\_\_

OR

Type Customer Number here



Place Customer Number Bar  
Code Label here

Firm or

☒ Individual Name

Karl Stauss, Sr. Patent Counsel

Address Nextec Applications, Inc.

Address 2717 Loker Avenue West

City Carlsbad State CA ZIP 92008

Country U.S.A.

Telephone (760) 438-5533 Fax (760) 438-5818

11/3/97  
Date

Karl Stauss  
Signature

Karl Stauss  
Typed or printed name

- ☐ Inventor(s)
- ☐ Assignee of complete interest. Certification under 37 CFR 3.73(b) is enclosed.
- ☐ Attorney or agent of record
- ☒ Filed under 37 CFR 1.34(a)  
Registration number if acting under 37 CFR 1.34(a) 40,827

A/\$  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

jc551 U.S. PTO



11/03/97

Application of: J. Michael Caldwell )  
Serial No.: Unassigned )  
Filed: November 3, 1997 )  
Title: Methods and Apparatus )  
for Controlled Placement of )  
a Polymer Composition Into )  
a Web )  
File No.: 97-020 )

Examiner:

Art Unit:

**CERTIFICATE OF  
"EXPRESS MAIL"**

Box NEW APP  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Assistant Commissioner:

**CERTIFICATE OF "EXPRESS MAIL"**

"Express Mail" mailing label number EG494071962US

I certify that this paper, along with:

Request for filing under 37 CFR § 1.60 form PTO/SB/13; Preliminary Amendment; Revocation and Appointment of New Power of Attorney; Formal drawings and Petition to accept photographs; Copy of originally filed U.S. Serial No. 08/407,191; and postcard;

are being deposited with the United States Postal Service as "Express Mail" in an envelope, under 37 C.F.R. § 1.10, on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

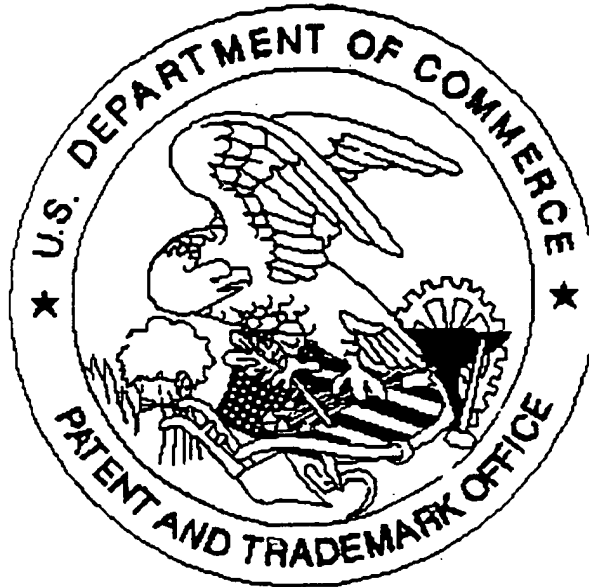
11/3/97  
Date of Deposit

*Deborah Watkins*  
Signature of Person Mailing Paper

*Deborah Watkins*  
Name of Person Mailing Paper

255011-00229580

United States Patent & Trademark Office  
Office of Initial Patent Examination — Scanning Division



Application deficiencies found during scanning:

☐ Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

☐ Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

☒ *Scanned copy is best available.*

*DRAWINGS*

08962700-110397

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	J. Michael Caldwell	)	
		)	Examiner:
Serial No.:	Unassigned	)	
		)	Art Unit:
Filed:	November 3, 1997	)	
		)	
Title:	Methods and Apparatus	)	PETITION TO ACCEPT
	for Controlled Placement of	)	PHOTOGRAPHS UNDER
	a Polymer Composition Into	)	37 CFR § 1.84(b)(1)
	a Web	)	
		)	
File No.:	97-020	)	
		)	

Box NEW APP  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Assistant Commissioner:

SUBMISSION OF FORMAL DRAWINGS AND PETITION TO ACCEPT  
PHOTOGRAPHS FILED UNDER 37 CFR § 1.84(b)(1)

Applicant submits the enclosed formal drawings and respectfully petitions the  
Commissioner to accept the enclosed black and white photographs.

CERTIFICATE OF "EXPRESS MAIL"

"Express Mail" mailing label number EG494071962US

I certify that this paper, along with any referred to as being attached or enclosed, is being deposited with the United States Postal Service as "Express Mail" in an envelope, under 37 C.F.R. § 1.10, on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

11/3/97  
Date of Deposit

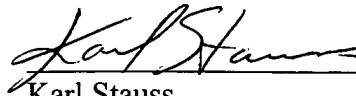
Deborah Watkins  
Signature of Person Mailing Paper

Deborah Watkins  
Name of Person Mailing Paper

Three (3) sets of photographs in accordance with 37 CFR § 1.84(b) are enclosed herewith. Please charge the petition fee of \$130.00 to Applicant's Deposit Account No. 14-0794.

Respectfully submitted,

Dated: 11/3/97



Karl Stauss  
Attorney for Applicant  
Registration No. 40,827

Karl Stauss,  
Sr. Patent Counsel  
Nextec Applications, Inc.  
2717 Loker Avenue West  
Carlsbad, CA 92008  
Tel: (760) 438-5533 x105  
Fax: (760) 438-5818

08962700-110397